OIPE 442

TRANSMITTAL FORM

FORM
(to be used for all correspondence after initial filing)

Application Number	10/828,877		
Filing Date	April 20, 2004		
First Named Inventor	Landy Wong		
Group Art Unit	2185 Reginald Glenwod Bragdon		
Examiner Name			
Attorney Docket Number	146876.02		

Sent via Express Mail Label No.:	Attorney Docket Number	146876.02			
ENCLOSURES (check all that apply)					
See Transmittal Form (in duplicate; total fee is \$180.00)	Drawin   Drawin   Drawin   Declara   New   A cc (37 c)   Licensin   Petition   Petition   Applica   General   37 c   Termina   Request   CD, Nun   Remarks   fee	tion  ly Executed ( pages)  py from a prior application  CFR 1.63(d)) ( pages)  ng-related Papers  to Convert to a Provisional	in ereby	Appeal Commappeals and In Appeal Notice, Brown (Appeal Notice, Brown and In Status Letter Application Date of the Enclose (Copy of the Enclose (Copy of the Enclose (Copy (1) (DS (12 pages))	nunication to TC ief, Reply Brief) formation  ata Sheet prected Filing Receipt pt Postcard pure(s) (please identify this Transmittal Form of Reference provided
/ SIGNATURE OF ATTORNEY OR AGENT					
Signature Janua January	Reg. I	<u></u>			
Name of Attorney or Agent		s R. Banowsky			
Date 1-13-06		425) 705-3539		csimile No.	(425) 708-5046
Assignee Name:	MICROSOFT CORPORATION ONE MICROSOFT WAY REDMOND, WA 98052				
Customer Number:	2971				

Complete if Known Effective on 12/08/04 the Countilities Appropriations Act, 2005 (H.R. 4818). e on 12/08/04 **Application Number** 10/828,877 FEETRANSMITTAL Filing Date April 20, 2004 First Named Inventor **Landy Wang** For FY 2005 Examiner Name Reginald G. Bragdon Art Unit 2185 Applicant claims small entity status. See 37 CFR 1.27 Attorney Docket No. 146876.02 TOTAL AMOUNT OF PAYMENT (\$) 180.00 Express Mail Label No. N/A METHOD OF PAYMENT (check all that apply) ☐ Credit Card ☐ None Check ☐ Money Order Other (please identify): Deposit Account Name: MICROSOFT CORPORATION Deposit Account Deposit Account Number: 50-0463 For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Credit any overpayments Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES EXAMINATION FEES** SEARCH FEES **Small Entity** Small Entity **Small Entity** Fee (\$) **Application Type** Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 200 250 100 Design 200 100 100 130 50 65 Plant 200 100 300 150 160 80 Reissue 300 150 500 250 600 300 0 Provisional 200 100 0 0 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee (\$) **Fee Description** 50 25 Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100 Multiple dependent claims 180 . 360 Multiple Dependent Claims Extra Claims Fee (\$) Fee Paid (\$) - 20 or HP= Õ x 50 Fee Paid (\$) Fee (\$) HP =highest number of total claims paid for, if greater than 20 0 0 Indep. Claims Extra Claims Fee (\$) Fee Pald (\$) -3 or HP = 0 -3 or HP= V x 200 = 0 HP = highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) 150 =-100 = (round up to a whole) number x 0 4. OTHER FEE(S)

SUBMITTED BY				
Signature	and 161	Jeno Many	Registration No. (Attorney/Agent) 37,773	Telephone (425) 705-3539
Name (Print/Type	James R. Band	yvsky /		Date / //3 / 0 C

\$130 fee (no small entity discount)

Other: The IDS Processing Fee of \$180.00/as set forth in 37 C.F.R. \$ 1.17 (p)

Non-English Specification,

Fees Pald (\$)

0

\$ 180.00

**PATENT** 

Docket No.: 146876.02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: Landy Wang

Attorney Docket No.: 146876.02

RADication No.: 10/828,877

Group Art Unit: 2185

Filed: April 20, 2004

Examiner: Reginald Glenwood Bragdon

Customer No.:

Confirmation

Confirmation Number: 5611

Title: EFFICIENT WRITE-WATCH MECHANISM USEFUL FOR GARBAGE COLLECTION IN A COMPUTER SYSTEM

Mail Stop <u>Amendment</u> Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 C.F.R. §§ 1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

## PART I: Compliance with 37 C.F.R. § 1.97

This Information Disclosure Statement has been filed more than three (3) months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in this application.

The fee of 180.00 as set forth in 37 C.F.R. § 1.17(p) is enclosed.

## PART II: Additional Information

The Applicant hereby makes the following additional information of record in the above-identified application.

The above-identified U.S. application claims priority to application Serial No. US 09/628,708, filed July 31, 2000, Patent No. 6738875, issued 05/18/2004. If the Examiner has not had the benefit of review of the file history of US 09/628,708, then

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the Applicant respectfully requests that the Examiner contact the undersigned, who will provide a copy of the same.

#### PART III: Remarks

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO/SB/08B. The order of presentation of the references should not be construed as an indication of the importance of the references. Documents cited on the attached form PTO/SB/08B are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO/SB/08B be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. § 102.

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Notwithstanding any statement by the Applicant, the Applicant urges the Examiner to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

If any fees are required, the Commissioner is hereby authorized to charge the required fees, or credit any overpayments, to Deposit Account No. 50-0463.

Respectfully submitted,

MICROSOFT CORPORATION

Date: 1-13-7004

James R. Banowsky,

Reg. No. 37,773

Microsoft Corporation Redmond, Washington

Telephone (425) 705-3539

#### CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being:

■ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop <u>Amendment</u>, Commissioner for Patents, P. O. Box 1450, Alexandria, VA

22313-1450

January 13, 2006

Date

Signature

Kate Marochkina

PTO/SB/08B (07-05)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 10/828,877 Filing Date INFORMATION DISCLOSURE April 20, 2004 STATEMENT BY APPLICANT **First Named Inventor** Landy Wang Art Unit 2185 (Use as many sheets as necessary) **Examiner Name** Reginald Glenwood Bragdon Attorney Docket Number 146876.02 Sheet

		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
·	1	LUCENT TECHNOLOGIES, "What is Inferno?", Inferno User's Guide, December 19, 1997, pages 1.1-1.12, USA.		
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		I Date		

Examiner		Date	
Signature	·	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.